

INTERNATIONAL TROPICAL TIMBER ORGANIZATION

ITTO

PRE-PROJECT DOCUMENT

TITLE	IMPROVED LIVING STANDARD OF THE PEOPLE THROUGH COMMUNITY PARTICIPATION IN SUSTAINABLE FOREST MANAGEMENT
SERIAL NUMBER	PPD 22/99 Rev.1 (F)
COMMITTEE	REFORESTATION AND FOREST MANAGEMENT
SUBMITTED BY	GOVERNMENT OF CAMBODIA
ORIGINAL LANGUAGE	ENGLISH

SUMMARY

The aim of this pre-project is to increase the standard of living of the rural population in the mountain forest area through their organization and participation in the sustainable management of renewable national resources.

This proposal will be implemented in the District of Banteay Meas and Angkorchey, Kampot province, in an area around 3,900Ha where the Government allocated for community forestry section.

The main expected outputs are related to create awareness among communities, NGOs, field foresters, forest administrator, policy makers and other stakeholders to understand the importance of community participation in sustainable tropical forest management.

This proposal will be a pioneering programme to promote community-base forest management in Cambodia.

EXECUTING AGENCY DEPARTMENT OF FORESTRY AND WILDLIFE

COOPERATING GOVERNMENTS ---

DURATION SIX (6) MONTHS

APPROXIMATE STARTING DATE TO BE DETERMINED

BUDGET AND PROPOSED SOURCES OF FINANCE

Source	Contribution in US\$	Local Currency Equivalent
ITTO	77,121	
Gov't of Cambodia	23,000	
TOTAL	100,121	

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PART I: CONTEXT

A. Relevance to ITTO

1. Compliance with ITTO Objectives:

This pre-project proposal is assistant with the ITTO objectives, in accordance with Article I (c, d, f, g, j) of ITTA, 1994 which says;

- c). To contributing to the process of sustainable development in local communities through increasing and diversifying of their farming and forestry revenues.
- d). Experiencing the sustainable management of community forests and forest reserves for the harvesting of non-timber products (permanent forest estate) on the basis of the management plan developed.
- f). Planing related research programmes on forest management and agroforestry.
- g). Enabling the various stakeholders to acquire a knowledge of and expertise in forest sustainable management.
- j). To encourage members to support forest management activities as well as rehabilitation of degraded forest land with due regard for the interests of local communities depend on forest resources.

2. Compliance with ITTO criteria:

This pre-project proposal is related to the criteria for research and will benefit tropical forest management as the whole in respect to the following:

(c). Promote research activities through this Inter-institutional coordination committee, by bringing together NGOs, Public and Private Institutions in the form of sustainable use of forest production.

(e). Facilitate the transfer of knowledge in the field of reforestation and forest management.

3. Relationship to ITTO Action Plan and Priorities:

The pre-project enhances the ITTO objectives and is consistent with ITTO Libreville Action Plan, in particular:

1. It addresses to part of the ITTA 1994 under sub-clause (c), (d), (f), (g) and (j) to initiate the process of applying sustainable Forest Management concept to tropical forests through dialogues, demonstration and case study research.

2. Promotion of human resources development for capacity building at all levels.
3. Strengthening of Institutions and encouragement of appropriate policies to apply sustainable forest management.
4. Participatory process for evolving suitable field level criteria and indicators of sustainable forest management.

B. Relevance to National Policies

1. Relationship to sectoral policies affecting Tropical Timber:

The pre-project proposal is in accordance with basic objectives of National Forest Policy Reform (1998) of Cambodia, which states that the process of forest degradation has to be halted through community participation and sustainable management and utilization of timber and non-timber products.

2. Relationship to sub-sectoral aims and programmes:

The pre-project proposal aims to integrate the agriculture sector and rural development for farmers who are living around mountain forest. This would reduce the biotic pressure on forest and ensure environmental and livelihood security.

3. Institutional and Legal Framework:

The Department of Forestry and Wildlife being academic and consulting organization under the Ministry of Agriculture, Forestry and Fisheries, Government of Cambodia has an advisory role to play in the matters relating to the forest management. The institute is competent in terms of Infrastructure and technical manpower to take-up such task.

PART II: THE PROJECT

(1) Origin:

The pre-project proposal is a new one and is not derived out of any activity (e.g. feasibility study) supported by ITTO or any other agency. It is mainly based on the observations and relevant documents.

(2) Project Objectives

2.1 Development Objective:

To increase living standard of the people through their organization and participation in the sustainable management of their natural resources.

2.2 Specific Objectives:

To demonstrate the technical, economic and social feasibility of forest management with the organized participation of the communities.

(3) project Justification

3.1 Problems to be addressed:

Despite their enormous potential, forest resources have not contributed to the socio-economic development of the rural communities living along near mountain forest area. In fact, these communities have been depleting these resources in order to expand the agricultural frontier, thus worsening the existing social problems. So far, lack of information on sustained yield, appropriate harvesting technique, and lack of participation of local people in protection, conservation and development of forests. The proposed of project will be initiation of the process to get the answer of the many above problems.

It noted that these have been no other initiatives launched in the past to promote community-based forest management. Therefore this proposal reins the urgency and priority of implementation by the Department of Forestry and Wildlife.

3.2 Characteristics of the pre-project area:

The area known as mountain forest has been one of the major timber producing areas in Cambodia throughout the twentieth century. the high forest productivity of this area, coupled with its wide ecological diversity, has been the basis of the local economy.

However, because of various legal, social, economic, political and technical reasons, the management of forests, regardless of their scale or extent, can only be considered sustainable in very limited cases.

In that region, the mountain forests are found in composing mixed miscellaneous species. The average annual rainfall of the area ranges from 1500 to 2500 mm/year with an average annual bio-temperature of between 25 °c and 30 °c.

The project site occupies an area about 3908 ha and located in surrounding by two districts of Kampot province, namely Angkorchey and Banteaymeas, and about 110 Km to the south of Phnom Penh City. There are seven communes with about 9800 families (average per family 6 people), located closed to the boundaries of project sites. Generally, these people depend on the forest, for food, wood energy and other timber and non-timber forest products for their daily living. Some people use some parts of the area for any type of agricultural crops cultivation.

In Cambodia forest lands are owned by the state, however, recently, according to the new forest policy, planted tree will become the property of planter, and the government has expressed strongly to support its. In that case, in the whole area where project site stated (**Map included as per attached**) is given from the government to the local communities to start with the programme of community forests.

3.3 Other relevant aspects of “project situation”

Rural Poverty:

Agriculture is the predominant occupation of almost all the people in Cambodia. Their socio-economic condition is being worse every day due decrease in productivity resulting form continuous farming. So, along with increase in productivity, it is very important to help rural population by means of short term income generating activities such as production of NTFPs.

Biodiversity Conservation:

As a signatory of convention of biological diversity, it is obligation of Cambodia to conserve all the biological diversities existing in the country. However, due to existence of numerous biological diversity of poor economic status of the country, it is very difficult for Cambodia to initiate actions to conserve all these biological resources.

Inadequacy of Knowledge:

Even for the species which are in biological threat and which have big economic importance, management aspects such as nursery technique, after care, harvesting storage, processing etc. is virtually unknown. Therefor, it is very urgent to generate these information for sustainable management as well as for the benefit of local population.

3.4 Intended situation after project completion:

- The present project is basically research project. After completion of the project stage, following situation may be visualized.

- Participatory learning to reduce unsustainable practices of forest utilization.
- Participatory learning environment may be created to bring about attitudinal changes in field foresters, community, NGOs and policy maker about the need for sustainable forest management.
- May help to initiate policy level dialogues between different stakeholders for adopting the concept of sustainable forest management in the management of tropical forests.
- On the basis of above, an expanded proposal on the follow-up in sustainable forest management through community participation will be developed.

3.5 Target beneficiaries:

- Communities living in and around the project area would be employed by the project and this will improve the standard of living of the people.
- NGOs concerned with people, environment and forest conservation.
- The Department of Forestry and Wildlife and state government.

3.6 Project Strategy

3.6.1 Reasons for Selection:

- The project is now a field of research having great significance for future management of forest resources.
- A project study is required to understand different stages in the planning process of the project for making a pragmatic full fledged project.
- This may lead to developing an effective mechanism for monitoring direction of change owing to implementation of National Forest Policy.

3.6.2 Lessons drawn from the past evaluation

- The Department of Forestry and Wildlife, community and researchers have the knowledge of forest degradation and its impacts.
- Through micro-planning exercise which was made by NGOs people's need, aspirations and participation in forest management is being understood in the area.

- On the basis of above experience, this project is drawn.

3.6.3 Technical and Scientific Aspects:

Bottom up approach for participatory learning of forest management by the marginal farmers from their active involvement for protection, development and utilization of forest resources ins a blend of scientific and social aspect. The approach will be followed with community, field foresters and NGOs.

Baseline survey will be conducted to examine the situation of communities around the area including demographic aspect, socio-economic and participatory attitudes. Based on the result of the survey and analyze, an initial implementation of community programme will be designed.

3.6.4 Economic Aspects:

The pre-project does not envisage direct economic benefits at this stage. However it will be a forum for discussions, involving NGOs, government and societies, and will enhance general public and particularly local communities awareness on aspects related to the sustainable management of tropical forest resources.

3.6.5 Environmental Aspects

The pre-project does not have any adverse environmental impacts. Rather it is friendly to environment, forest and people. When implemented, it would reduce the adverse impact on environment, forest and people.

3.6.6 Social Aspects:

The participation of the local population and the communities living in and around the forest is a fundamental component to ensure the successful management of forest resources under the principle of sustainably.

The pre-project will have a medium social impact with the local communities by increasing the current low levels of income of the families living in the project area. With regard to education and training, the project will enhance the knowledge of the local producers, increasing their efficiency in the use of their lands and their community areas.

3.6.7 Managerial Aspects:

The pre-project will implement by Department of Forestry and Wildlife of Cambodia through the assistance of international consultants which term of reference is attached and will be managed by a national coordinator, who will responsible to the steering committee for project activities. The Department of Forestry and Wildlife will organize field workers with sufficient national staff for executing this project.

3.7 Reasons for ITTO Support

3.7.1 ITTO Aspects:

One of this potential sources is ITTO given the compliance of proposed project with the ITTO action plan and objectives as stipulated in the 1994 Agreement.

3.7.2 Relationship to relevant actions supported by other donors:

There are no other co-operating agencies supporting any similar actions or actions related to this proposal.

3.8 Risks

This pre-project does not contemplate any major risk elements. This could be rate as a negligible or very low risk project, **that could hinder implementation of International Export for reliable on work with the local communities, and either delay consultant work, recruitment of consultant or non-cooperation from targetted local communities.**

(4) Outputs

4.1 Output 1:

Development and strengthening of local institutions for participation in sustainable forest management and sustainable utilization of benefits.

4.2 Output 2

A pre-project proposal formulated on the community participations in the sustainable forest management.

(5) Activities and Inputs

5.1 Output 1

Development and strengthening of local institutions for participation in sustainable forest management and sustainable utilization of benefits.

Activities

A 1.1 – Resources survey and data collection using RRA/PRA.

Inputs

- 01 Pre-Project Coordinator 3 m/m
 - 12 Field Workers 1080 m/d
 - Field travels
 - 01 Administrative Assistants 3 m/m
-

A.1.2 – To analyze data and provide training need to the field staff, local opinion leaders and others related agencies regarding sustainable forest management.

- 01 IE 1 m/m
 - 01 PC 1m/m
 - 01 AA 1m/m
 - 12 FW 360 m/d
 - Field Travel
 - **Air tickets**
-

5.2 Output 2:

A **pre-project proposal formulated** on the community participation in the sustainable forest management.

<u>Activities</u>	<u>Inputs</u>
<u>A 2.1</u> – Project outline preparation and discussion.	- 01 PC 1 m/m - 01 AA 1m/m - 12 FW 360 m/d - 01 IE 1m/m - Field Travels
<u>A. 2.2</u> – To formulate project proposal	- 01 IE 1 m/m - 01 AA 1m/m - 01 PC 1 m/m - 12 FW 360 m/d - Published of final document - Air ticket

6. Logical Framework Worksheets (See Annex)

7. Work Plan (See Annex)

8. Institutional arrangement for execution and operation

8.1 Management Structure

Department of Forestry and Wildlife will be responsible for the implementation of this project. One National project coordinator should be organized with field workers to be worked in the pre-project.

8.2 Future Operation and Maintenance

Observations and survey work as per the standard procedure will be carried out for the project works as per requirements and existing facilities. No laboratory or infrastructure will be created. However the necessary facilities will be developed for the full fledged project in future as per requirements.

8.3 Key Staff

To ensure the responsibility, this pre-project will be generally headed by Director General of DFW, and will be directly managed and responsible by one National Pre-Project coordinator, for the overall activities. He will be assisted by one IE of social Forestry or community forestry. Some more project team will be selected from among communities to be worked in this project.

9. Prior Obligation and Pre-requisites

Department of Forestry and Wildlife (DFW) will take care of all prior obligation and prerequisite to facilitate the supplementation of this project, including field workers selection, either staff assignment, provision of information available, field study and survey and all administrative system.

10. Possible Future Actions

It is intended that a full comprehensive expanded proposal will be developed for submission to ITTO for support the sustainable forest management through community participation in Cambodia.

Part III – Monitoring, Reporting and Evaluation

3.1 Arrangement for reporting

There monthly progress reports and a final report will be submitted by the Project coordinator to ITTO.

3.2 Arrangement for ITTO Monitoring and review

Upon completion, the Project will be subject to an evaluation by ITTO at a date to be determined between ITTO and DFW.

3.3 Evaluation

Evaluation will be carried out in accordance with ITTO rules and regulation.

Part IV –Pre-Project Budget

(See Annex)

- 1- Logical Framework Matrix.
- 2- Work Plan
- 3- Pre-Project Budget by Activities
- 4- Pre-Project Budget by Component.
- 5- Pre-Project Budget by Source of Finance.
- 6- Terms of reference of International expert / pre-project coordinator.
- 7- Unit Price of pre-Project Budget

Annex 1. Logical Framework Matrix

Project Elements	Objectively verifiable indicators	Means of Verification	Important assumptions
Development Objective: To Improve living standard of the people through their organisation and participation In SFM of their national resources.	-Increase in beneficiaries' family income levels. -Improve of basic family service. -Increase in economically active population	Socio-economic survey.	-Cost-efficiency of national resource sustainability. -Continuity in agrarian section policy. -Beneficiary populations' commitment to management plan.
Specific Objective: To demonstrate the technical, economic and social feasibility of forest management with the organised participation of the communities.	-The management plan harmonies the forest potential with the local communities' expectation and capacity.	-Socio-economic survey. -Technical report.	-The technology used is suitable to the area.
Output 1: Development and strengthening of local institutions for participation in SFM and sustainable utilization of benefits.	-Field survey undertaken and relevant information are collected and analysed.	-Mission report project document.	-Sufficient representation of different state-holders -Recognition by Gov't of Cambodia.
Activity 1.1 : Resource survey and data collection using RRA/ PRA.	-Number of field visits and information gathered.	-Reports	Availability of inputs assured.
Activity 1.2 : To analyse data and provide training need to the field staff , local opinion leaders and others related agencies regarding SFM .	-Issues identified and recommendation -Survey analysis	-Reports -Consensus with local communities	-Adequate analysis of the base line survey
Output 2 : A project proposal formulated on community participation in SFM .	- Community , field workers and other stakeholders expanded participation and greater contribution.	- Adequate , analyse , discussion and elaborating draft documents .	- Adequate and timely provisions of required project facilities from concerned organization received .

<u>Activity 2.1:</u> Project outline preparation and discussion.	-Variou discussion. - Consultation with local communities through field workshop.	-Reports - Consensus with local communities	Consultation held at all Relevant levels.
<u>Activity 2.2</u> Preparation of final follow up proposal.	Relevant inputs received.	Well formulated proposal	Availability of Inputs assured.

Annex 2: Work Plan

<u>Outputs and Activities</u>	Responsible Party	Schedule in months					
		1	2	3	4	5	6
<u>Output 1:</u> Development and strengthening of local institution for participation in SFM and sustainable utilization of benefits.							
<u>Activity 1.1:</u> Resource survey and data collection using RRA/PRA.	CP/AA/FW						
<u>Activity 1.2:</u> To analyse date and provide training need to the field staff, local opinion leaders and others related agencies regarding SFM.	IE PC/AA/FW						
<u>Output 2:</u> A project proposal formulated on the community participation in SFM.							
<u>Activity 2.1:</u> Project outline preparation and discussion.	IE PC/AA/FW						
<u>Activity 2.2:</u> To formulate project proposal.	IE PC/AA/FW						

Notes: IE - International expert
 PC - Project Coordinator
 AA – Administrator Assistant
 FW – Field Worker

Annex 3- Total Pre-Project Budget by Activity

<u>Outputs / Activities</u>	Budget Components							Grand Total
	Pre-Project Personnel	Sub-Contracts	Duty travel	Capital items	Consumable items	Miscellaneous	ITTO monitoring & evaluation	
<u>Output 1</u> : Development and strengthening of local institutions for participation in SFM and sustainable.								
<u>Activity 1.1</u> : Resource survey and data collection using RRA/PRA.	25,500	500	2,700					40,500
<u>Activity 1.2</u> : To analyse data and provide training need to the field staff local opinion leaders and other related agencies regarding SFM.	18,500	500	2,400					22,400
<u>Output 2</u> : A project proposal formulated on the community participation in SFM.								
<u>Activity 2.1</u> : Project outline preparation and discussion.	18,500	1,000	1,800					21,300
<u>Activity 2.2</u> : To formulate project proposal.	11,300	2,000	2,400			2,000	10,286	27,386
<u>Grand Total</u> .	73,800	4,000	9,300		2,000	2,000	10,286	101,386

Annex 4: Pre-Project Budget by Component (in USD)

CODE	BUDGET COMPONENT	TOTAL
1.0	<u>Pre-Project Personnel</u>	
1.1	- One Pre-Project coordinator	4,800
1.2	- One Administrative Assistant	3,000
1.3	- 12 Field Workers	13,000
1.4	- One International Expert	30,000
1.9	- Component Total:	50,800
2.0	<u>Sub-Contract</u>	
2.1	- Publication of final documents	2,000
2.2	- Transport costs	2,000
2.3	- Component total:	4,000
3.0	<u>Duty Travel</u>	
3.1	- DSA for IE	5,400
3.2	- DSA for national coordinator	2,700
3.3	- Air ticket	1,200
3.9	- Component total:	9,300
4.0	<u>Consumable Items:</u>	
4.1	Office and Field tools Supply	2,000
4.9	Component total:	2,000
5.0	<u>Miscellaneous</u>	
5.1	- Miscellaneous	2,000
5.9	- Component total:	2,000
6.0	<u>ITTO Administrative and Evaluation & Monitoring</u>	
6.1	- ITTO Monitoring and Evaluation	5,000
6.2	- Administration cost (5.5%)	4,021
6.9	- Component total:	9,021
	Grand Total:	77,121

Annex 5. Pre-Project Budget by Source of Finance

(In US Dollars)

CODE	Budget Component	Government of Cambodia	ITTO	Total
1-0	Pre-Project Personal	23,000	50,800	73,800
2-0	Sub Contract	-	4,000	4,000
3-0	Duty Travel	-	9,300	9,300
4-0	Consumable Items	-	2,000	2,000
5-0	Miscellaneous	-	2,000	2,000
6-0	ITTO adm. , M&E	-	9,021	9,021
	Grand Total:			100,121

Annex 6: Terms of Reference

Pre-Project: Improved living standard of the people for sustainable forest management through community participation.

International Expert

1. Professional qualification:

- A minimum of a masters degree in Forestry with at least 10 years working experience in social Forestry Science and Forest Management in Tropical Regions.
- Excellent commend of English language.

2. Duration:

- **Three months.**

3. Statement of duties:

The International Expert in close cooperation with National Project Coordinator and under supervision of ITTO and Department of Forestry and Wildlife shall:

- Conduct social and economic survey of local communities in and around the project area.
- Identify the needs of local communities.
- Programme regular meetings and open dialogues with forest users and local people for assessment of behavior and attitude change of the villages.
- To prepare and submit completion report with recommendations for future action
- To develop a **project Proposal** and ultimately responsible for the Project Proposal document.
- **Taking and into account the results of the baseline survey, the outcomes of the relevant workshops, and consultations with related government agencies and local people.**

Pre-Project Coordinator

1. Professional qualification:

- A minimum of a Forestry Engineer with at least 3 years working experience in social Forestry, Reforestation and Forest Management.

2. Duration:

- 06 months

3. Statement of duties:

The pre-project Coordinator is closed cooperation with IE under supervision of ITTO and Department of Forestry and Wildlife shall:

- Manage the project relationship with the local authorities, local communities and other relevant agencies.
- Organize the training in participation of the mountain forest management plan and monitor its implementation.
- Supervise the reforestation and enrichment site.
- Support all other consultation and concentration sessions with the population.

Annex 7: Unit Price in Pre-Project Budget

(In US Dollars)

Category	Unit	US\$
International expert.	m/m	10,000
National Pre-Project Coordinator	m/m	800
Administrative Assistant	m/m	500
Field workers	m/d	20
Air Ticket	Return	1,200
DSA	Day	30

Summary clarifications and for explanations to the comments and recommendation made by the review Panel

In response to the comments and recommendation of the eighteenth expert panel for the technical appraisal The proposed project on improved living standard of The people for sustainable Forest Management through community participation (Cambodia) (PD 64/99F), has changed to be a pre-project according to the Panel's recommendations and some revision have been marked as follow:

- Bold typeface: for the text added and text change
- Underlined text: for the text deleted, Those are:

1.1 Output 2

Initial implementation the community programme.

<u>A.1.3</u> - Organize study tour and field visit of key project personnel and other staff.	- 20 Participants. - 01 PC 1 m/m - 01 AA 1 m/m - 12 FW 360 m/d - Field Visits
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5.2. Output 2:

Initial implementation the community programme.

<u>Activities</u>	<u>Inputs</u>
<u>A 2.1</u> – Conform the design of people participation based on the survey.	- 01 PC 1 m/m - 01 AA 1m/m - 12 FW 360 m/d - Field Travels
<u>A.2.2</u> – Set up community organizations	- 01 PC 1 m/m - 01 AA 1m/m - 12 FW 360 m/d - Field Travels
<u>A2.3-</u> Provide Extension and Training	- 01 PC 1 m/m - 01 AA 1m/m - 12 FW 360 m/d - Field Travels
<u>A2.4-</u> Set up village nurseries and planting trees	- 01 PC 1 m/m - 01 AA 1m/m - 12 FW 360 m/d - 07 village nurseries established - Involving local communities planting trees 250,000 trees.

2. Duration

3. Statement of duties

- To conduct training on community participation and benefits.

Annex: 1

<u>Activity 1.3</u> : - Organised study tours and field visit of key project personals and other staff .
<u>Output2</u> : Initial implementation the community programme.
<u>Activity 2.1</u> Conform the design of people participation based on the survey.
<u>Activities 2.2</u> : Set up people organization
<u>Activities 2.3</u> : Provide extension and training
<u>Activities 2.4</u> : Set up nursery / planting trees.

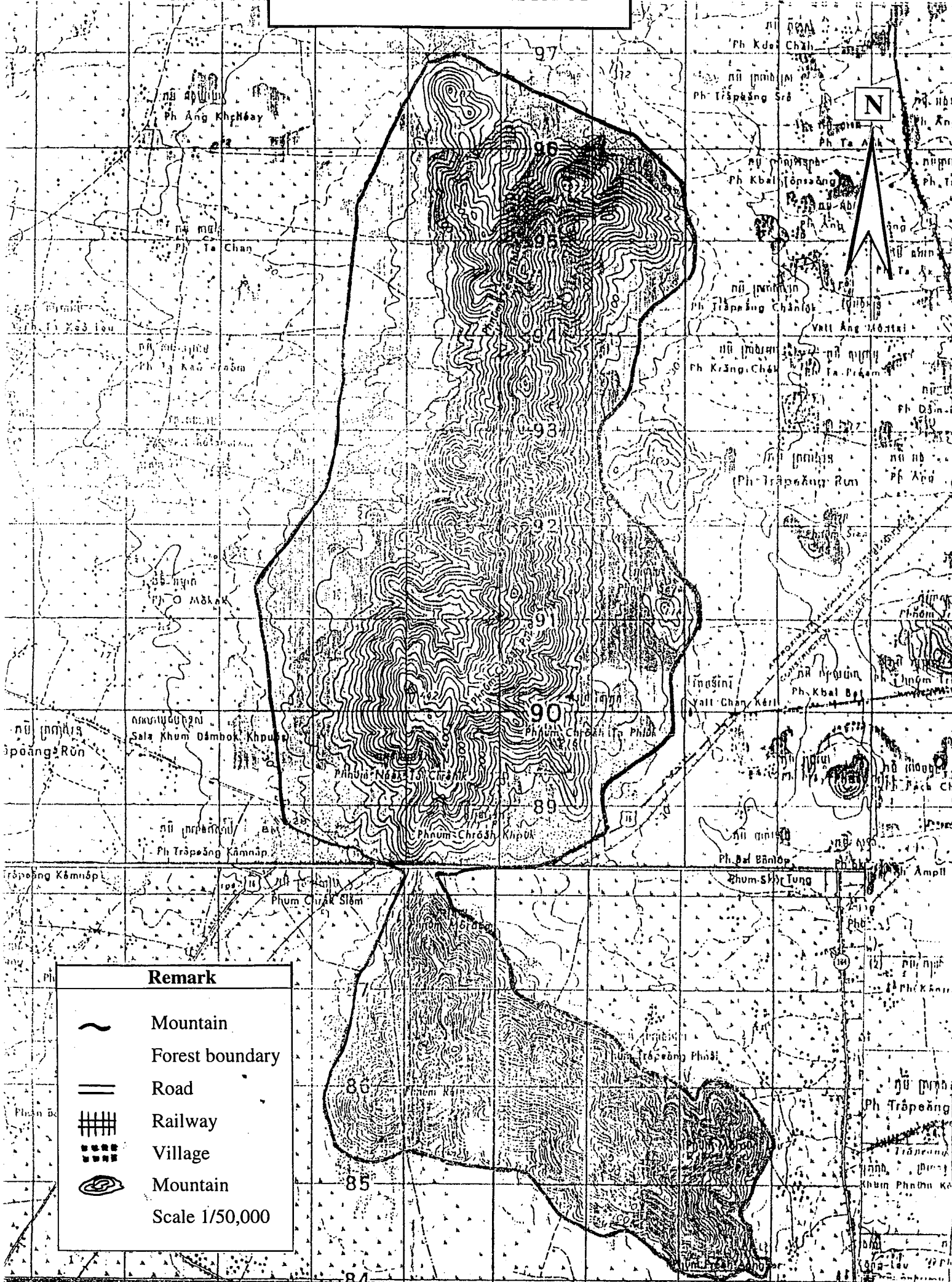
Annex 2:

<u>Output 1.3</u> : Organised study tours and field visit of key project and other staff.
<u>Output 2</u> : Initial Implementation the community programme
<u>A 2.1</u> – Conform the design of people participation based on the survey.
<u>A.2.2</u> – Set up community organizations
<u>A2.3</u> - Provide Extension and Training
<u>A2.4</u> - Set up village nurseries and planting trees







Annex 3:

<u>Activity 1.3</u> : Organised study tours and field visit of key project personal and other staff.
<u>Output 2</u> : Initial Implementation the community programme
<u>A 2.1</u> – Conform the design of people participation based on the survey.
<u>A.2.2</u> – Set up community organizations
<u>A2.3</u> - Provide Extension and Training
<u>A2.4</u> - Set up village nurseries and planting trees

**MAP OF MOUNTAIN FOREST AREA
AT ANGKOR CHEY DISTRICT**



Remark

-  Mountain
 -  Forest boundary
 -  Road
 -  Railway
 -  Village
 -  Mountain
- Scale 1/50,000